SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

01 000	1011 30(11) 01	the Investment Company Act	101 1940					
Name and Address of Reporting Person <sup>*</sup> 2. Date of Event Requiring Statement (Month/Day/Year) 03/01/2022		3. Issuer Name and Ticker or Trading Symbol <u>NOV Inc.</u> [ NOV ]						
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give Other (specify title below) below) Pres Wellbore Technologies			<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person Form filed by More than One Reporting Person</li> </ul>			
. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D (D) or Ir	Direct Ow ndirect	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
		138,799	Γ	)				
		7,414(1)	Г	)				
		20,000 <sup>(2)</sup>	Г					
		26,898 <sup>(3)</sup>	Г Г	)				
2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficia Ownership (Instr.		
Date Exercisable		Title	Amount or Number of Shares	Derivative Security	or Indirect (I) (Instr. 5)	5)		
(4)	02/16/2023	Common Stock	12,253	63.926	D			
(5)	02/26/2024	Common Stock	13,753	68.997	D			
(6)	02/26/2025	Common Stock	25,900	54.74	D			
(7)	02/23/2027	Common Stock	79,830	38.86	D			
(8)	02/25/2026	Common Stock	39,240	34.32	D			
(9)	02/29/2028	Common Stock	71,504	35.09	D			
(10)	02/28/2029	Common Stock	65,934	28.72	D			
(11)	02/26/2030	Common Stock	77,187	20.23	D			
(12)	02/23/2031	Common Stock	79,086	15	D			
		2. Date of Event Requiring Statement (Month/Day/Year) 03/01/2022         Table I - Non-Derivative (Month/Day/Year)         Table II - Nor-Derivative (Month/Day/Year)         2. Date Exercisable and Expiration Date (Month/Day/Year)         Date Exercisable       Expiration Date         (4)       02/16/2023         (4)       02/26/2024         (6)       02/26/2024         (6)       02/26/2024         (10)       02/28/2025         (11)       02/26/2030	2. Date of Event Requiring Statement (Month/Day/Year)       3. Issuer Name and Ticker NOV Inc. [NOV]         4. Relationship of Reporting Issuer (Check all applicable) Director X Officer (give title below) Pres Wellbore T         7able 1 - Non-Derivative Securities Benefici Beneficially Owned (Instr. 4)         7able 1 - Non-Derivative Securities Benefici Beneficially Owned (Instr. 4)         7.414 <sup>(1)</sup> 20,000 <sup>(2)</sup> 26,898 <sup>(3)</sup> Table II - Derivative Securities Beneficial Supervision Date (Month/Day/Year)         3. Title and Amount of Securities Expiration Date (Month/Day/Year)         Date Expiration Date (Month/Day/Year)       3. Title and Amount of Securities Common Stock         (a)       02/26/2023       Common Stock         (b)       02/26/2025       Common Stock         (a)       02/25/2026       Common Stock         (b)       02/25/2026       Common Stock         (a)       02/25/2026       Common Stock         (a)       02/25/2026       Common Stock         (a)       02/25/2026       Common Stock         (a)       02/25/2029       Common Stock	2. Date of Event Requiring Statement (Month/Day/Year) 03/01/2022       3. Issuer Name and Ticker or Trading NOV Inc. [NOV]         4. Relationship of Reporting Person(s) Under Give Officer (give Officer (give) Officer (give)	2. Date of Event (Month/Day/Year) 03/01/2022         3. Issuer Name and Ticker or Trading Symbol NOV_Inc. [NOV]           4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X Officer (give Other (specify title below)         5.           7able 1 - Non-Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Officer (give Other (specify (Instr. 4)         5.           7able 1 - Non-Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Officer (give Other (specify (Instr. 4)         4.           7able 1 - Non-Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Officer (give Other (specify (Instr. 4)         4.           7able 1 - Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Officer (give Officer (	2. Date of Event (Month/Oay/Ver) 03/01/2022         3. Issuer Name and Ticker or Trading Symbol NOV Inc. [NOV]           4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director         5. If Amendment, Filed (Month/Day/ Other (specify) Director         5. If Amendment, Filed (Month/Day/ Other (specify) Director           rable 1 - Non-Derivative Securities Beneficially Owned (Inter (specify) Director         3. Ownership Form: Direct (0, (nstr. 5)         6. Nature of Indirect (0, (nstr. 5)           rable 1 - Non-Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Form: Direct (0, (nstr. 5)         4. Nature of Indirect (0, (nstr. 5)           rable 1 - Derivative Securities Beneficially Owned (Instr. 4)         3. Ownership Form: Direct (0, (nstr. 5)         4. Nature of Indirect (0, (nstr. 5)           Table II - Derivative Securities Beneficially Owned J, puts, calls, warrants, options, convertible securities J, puts, calls, warrants, options, convertible securities Securities         5. Ownership or Exercisable (0, 0th/Day/Year)         5. Ownership (nstr. 4)         5. Ownership or Exercisable (nstr. 4)         5. Ownership (nstr. 4)         5. Ownership or Exercisable (nstr. 4)         5. Ownership (nstr. 4)         5. Ownership or Exercisable (nstr. 4)         5. Ownership or Exercisable (nstr. 4)         5. Ownership (nstr. 4)		

Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year) 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)			4. Conversion or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.					
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)				
Non-Qualified Stock Option (right to buy)	(13)	02/16/2032	Common Stock	72,000	16.73	D					

## Explanation of Responses:

1. Represents the remaining unvested portion of time-based restricted stock granted on 02/25/2020 pursuant to the Issuer's 2018 Long-Term Incentive Plan, which began vesting in three equal annual installments on the first anniversary of the date of grant.

2. Represents a time-based restricted stock award granted on 02/22/2021 pursuant to the Issuer's 2018 Long-Term Incentive Plan, which will vest in three equal annual installments commencing on the first anniversary of the date of grant.

3. Represents an equity award of time-based restricted stock units granted on 02/15/2022 pursuant to the Issuer's 2018 Long-Term Incentive Plan, which will vest in three equal annual installments commencing on the first anniversary of the date of grant.

4. This option is exercisable in three equal annual installments beginning on 02/15/2014.

5. This option is exercisable in three equal annual installments beginning on 02/25/2015.

 $\mbox{6. This option is exercisable in three equal annual installments beginning on 02/25/2016. } \label{eq:2.1}$ 

7. This option is exercisable in three equal annual installments beginning on 02/22/2018.

8. This option is exercisable in three equal annual installments beginning on 02/24/2017 for the first installment, 12/20/2017 for the second installment, and 02/24/2019 for the third installment.

9. This option is exercisable in three equal annual installments beginning on 02/28/2019.

10. This option is exercisable in three equal annual installments beginning on 02/27/2020.

11. This option is exercisable in three equal annual installments beginning on 02/25/2021.

12. This option is exercisable in three equal annual installments beginning on 02/22/2022.

13. This option is exercisable in three equal annual installments beginning on 02/15/2023.

## /s/ Brigitte M. Hunt,

Attorney-in-Fact for the reporting person.

\*\* Signature of Reporting Person

04/06/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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